



RECEIPT  
#5

THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Shek Fai Lau  
Appl. No.: 10/074,207  
Confirm. No.: 5944  
Filed: February 12, 2002  
Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER  
DEVICES WITH INTERSTITIAL ELECTRODE

PATENT APPLICATION

Art Unit: 1741  
Examiner: Unassigned

Customer No. 23910

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EV 073 805 392 US  
Date of Mailing: June 10, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

(Signature)

Johann S. Mercado  
Signature Date: June 10, 2002

**TRANSMITTAL LETTER**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

Transmitted with this communication in connection with the above-identified application  
are the following.

- Preliminary Amendment
- Request for Correction of Filing Receipt and Filing Receipt with corrections

No fee is required with this communication.

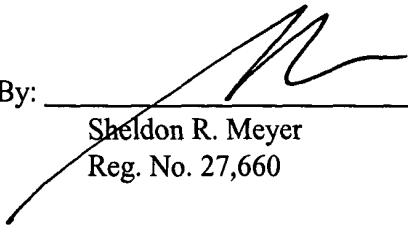
The commissioner is hereby authorized to charge underpayment of any fees associated  
with this

**RECEIVED**  
JUN 21 2002  
**TC 1700**

communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 10, 2002

By: 

Sheldon R. Meyer  
Reg. No. 27,660

FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800

JUN 10 2002  
PATENT & TRADEMARK OFFICE

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application

Inventors: Charles E. Taylor and Shek Fai Lau  
Appl. No.: 10/074,207  
Confirm. No.: 5944  
Filed: February 12, 2002  
Title: ELECTRO-KINETIC AIR TRANSPORTER-CONDITIONER  
DEVICES WITH INTERSTITIAL ELECTRODE

PATENT APPLICATION

Art Unit: 1741  
Examiner: Unassigned

Customer No. 23910

**CERTIFICATE OF MAILING BY "EXPRESS MAIL"  
UNDER 37 C.F.R. §1.10**

"Express Mail" mailing label number: EV 073 805 392 US  
Date of Mailing: June 10, 2002

I hereby certify that this correspondence is being deposited with the United States Postal Service, utilizing the "Express Mail Post Office to Addressee" service addressed to **Commissioner for Patents, Washington, DC 20231** and mailed on the above Date of Mailing with the above "Express Mail" mailing label number.

  
\_\_\_\_\_  
Johann S. Mercado  
Signature Date: June 10, 2002

(Signature)

**REQUEST FOR CORRECTION OF FILING RECEIPT**

Commissioner for Patents  
Washington, D.C. 20231

Sir:

An Amended Filing Receipt is requested in accordance with the additional priority claim indicated in the accompanying Preliminary Amendment. A copy of the existing Filing Receipt is attached with desired corrections written in.

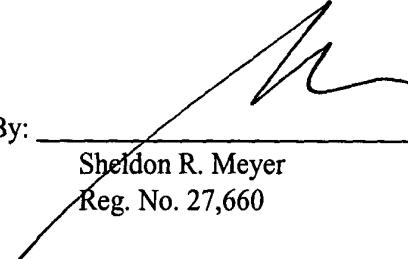
RECEIVED  
JUN 21 2002  
TC 1700

No fee is required with this communication.

The Commissioner is hereby authorized to charge underpayment of any additional fees associated with this communication or credit any overpayment to Deposit Account No. 06-1325. A duplicate copy of this authorization is enclosed.

Respectfully submitted,

Date: June 10, 2002 By: \_\_\_\_\_  
Sheldon R. Meyer  
Reg. No. 27,660



FLIESLER DUBB MEYER & LOVEJOY LLP  
Four Embarcadero Center, Fourth Floor  
San Francisco, California 94111-4156  
Telephone: (415) 362-3800



JUN 10 2002

## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING DATE	GRP ART UNIT	FIL FEE REC'D	ATTY.DOCKET.NO	DRAWINGS	TOT CLAIMS	IND CLAIMS
10/074,207	02/12/2002	1741	1172	SHPR-01041USN SRM/SDS	32	44	3 ✓

Sheldon R. Meyer, Esq.  
FLIESLER DUBB MEYER & LOVEJOY LLP  
Fourth Floor  
Four Embarcadero Center  
San Francisco, CA 94111-4156

RECEIVED

APR 02 2002

FLIESLER DUBB  
MEYER & LOVEJOY

CONFIRMATION NO. 5944 ✓

FILING RECEIPT

\*OC000000007733710\*

Date Mailed: 03/28/2002

Receipt is acknowledged of this nonprovisional Patent Application. It will be considered in its order and you will be notified as to the results of the examination. Be sure to provide the U.S. APPLICATION NUMBER, FILING DATE, NAME OF APPLICANT, and TITLE OF INVENTION when inquiring about this application. Fees transmitted by check or draft are subject to collection. Please verify the accuracy of the data presented on this receipt. If an error is noted on this Filing Receipt, please write to the Office of Initial Patent Examination's Customer Service Center. Please provide a copy of this Filing Receipt with the changes noted thereon. If you received a "Notice to File Missing Parts" for this application, please submit any corrections to this Filing Receipt with your reply to the Notice. When the USPTO processes the reply to the Notice, the USPTO will generate another Filing Receipt incorporating the requested corrections (if appropriate).

## Applicant(s)

Charles E. Taylor, Residence Not Provided; ✓  
Shek Fai Lau, Residence Not Provided;

## Domestic Priority data as claimed by applicant

THIS APPLN CLAIMS BENEFIT OF 60/341,433 12/13/2001 ✓  
AND CLAIMS BENEFIT OF 60/306,479 07/18/2001  
AND A CIP OF 09/924,624 08/08/2001  
WHICH IS A CON OF 09/564,960 05/04/2000 PAT 6,350,417  
WHICH IS A CIP OF 09/186,471 11/05/1998 PAT 6,176,977  
THIS APPLICATION IS ALSO A CIP OF 09/130,499 12/5/2000 WHICH IS A  
CONTINUATION OF 09/186,471 PAT 6,176,977

## Foreign Applications

If Required, Foreign Filing License Granted 03/27/2002 ✓

Projected Publication Date: To Be Determined - pending completion of Missing Parts ✓

Non-Publication Request: No ✓

Early Publication Request: No ✓

RECEIVED  
JUN 21 2002  
TC 1700

Fliesler, Dubb, Meyer & Lovejoy  
File: SHPR-01041USN  
Action Item: Action #1?  
Date Due: April 12, 2003  
Critical Date: April 12, 2003  
Attorney Path: SRM/SDS  
Docketed By: mpr  
Verified By: 6/mw

**Title**

Electro-kinetic air transporter-conditioner devices with interstitial electrode

✓

**Preliminary Class**

422

---

**LICENSE FOR FOREIGN FILING UNDER  
Title 35, United States Code, Section 184  
Title 37, Code of Federal Regulations, 5.11 & 5.15**

**GRANTED**

The applicant has been granted a license under 35 U.S.C. 184, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" followed by a date appears on this form. Such licenses are issued in all applications where the conditions for issuance of a license have been met, regardless of whether or not a license may be required as set forth in 37 CFR 5.15. The scope and limitations of this license are set forth in 37 CFR 5.15(a) unless an earlier license has been issued under 37 CFR 5.15(b). The license is subject to revocation upon written notification. The date indicated is the effective date of the license, unless an earlier license of similar scope has been granted under 37 CFR 5.13 or 5.14.

This license is to be retained by the licensee and may be used at any time on or after the effective date thereof unless it is revoked. This license is automatically transferred to any related applications(s) filed under 37 CFR 1.53(d). This license is not retroactive.

The grant of a license does not in any way lessen the responsibility of a licensee for the security of the subject matter as imposed by any Government contract or the provisions of existing laws relating to espionage and the national security or the export of technical data. Licensees should apprise themselves of current regulations especially with respect to certain countries, of other agencies, particularly the Office of Defense Trade Controls, Department of State (with respect to Arms, Munitions and Implements of War (22 CFR 121-128)); the Office of Export Administration, Department of Commerce (15 CFR 370.10 (j)); the Office of Foreign Assets Control, Department of Treasury (31 CFR Parts 500+) and the Department of Energy.

**NOT GRANTED**

No license under 35 U.S.C. 184 has been granted at this time, if the phrase "IF REQUIRED, FOREIGN FILING LICENSE GRANTED" DOES NOT appear on this form. Applicant may still petition for a license under 37 CFR 5.12, if a license is desired before the expiration of 6 months from the filing date of the application. If 6 months has lapsed from the filing date of this application and the licensee has not received any indication of a secrecy order under 35 U.S.C. 181, the licensee may foreign file the application pursuant to 37 CFR 5.15(b).